

S/N: TBA

2/15/2002

DOCKET NO.: KAW-270-USAP

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

02/15/02
11132 U.S. PTO

In re Application of: Kaneyoshi YAGI, et al.

Serial No.: TO BE ASSIGNED

Art Unit: TO BE ASSIGNED

Filed: February 15, 2001

Examiner: TO BE ASSIGNED

For: Diffraction Type Optical Pickup Lens and Optical Pickup Apparatus Using the Same

02/15/02
10/075345 PTO

UTILITY PATENT APPLICATION TRANSMITTAL

IN ACCORDANCE WITH 37 CFR §1.53 (b)

Assistant Commissioner of
Patent and Trademarks
Washington, D.C. 20231
BOX: PATENT APPLICATION

Sir:

This application is a:

New Application.

Continuation

Divisional of U.S.P.T.O. Serial Number _____, filed

Continuation in Part of U.S.P.T.O. Serial Number _____,
filed _____.

The undersigned has been authorized by the Applicant(s),

Kaneyoshi YAGI

Masato KAWABATA

Toshiaki KATSUMA

FOR: Diffraction Type Optical Pickup Lens and Optical Pickup
Apparatus Using the Same

to file the attached specification and required drawings. Please assign a
serial number and accord a filing date to this prospective application.

Enclosed are:

- 12 pages of Specification,
- 2 page(s) of Claims,
- 1 page of an Abstract, and
- 6 sheet(s) of Drawing(s). Total pages in the disclosure are therefore 21
- X Return Receipt Postcard (MPEP 503).
- X Application Data Sheet
- X Newly Executed, Original Oath or Declaration with Power of Attorney
- Signed Statement deleting inventor(s) named in prior application.
- Applicant claims Small Entity status under 37 CFR §1.27.
- X Assignment of the Invention and \$40.00.
- A certified copy of Priority Document.
- A Preliminary Amendment.
- Letter to the Official Draftsperson and amended drawing(s).
- An Information Disclosure Statement (IDS)/PTO Form 1449.
- X The basic filing fee of \$740.00.
- X The fees for the claims to be calculated as follows:

Claims Presented		Less Entitlement		Additional Fees			
				Small Entity		Large Entity	
Total	10	Minus	20	x \$9=	0.00	x \$18=	0.00
Indep.	1	Minus	3	x \$42=	0.00	x \$84=	0.00
New Multiple Dependent Claims		-0-		x\$140=	0.00	x\$280=	0.00
And Claims Dependent Thereon		-0-		x\$140=	0.00	x\$280=	0.00
TOTAL ADDITIONAL FEE				0.00		0.00	

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- A check in the total amount of \$780.00 is enclosed to cover filing fee, Recordation of Assignment fee, and excess claims fee.
- The Commissioner is hereby authorized to charge to my Deposit Account No. 19-2816 any fees required under any of 37 CFR §§1.16 to 1.17 at any time during the pendency of this application.



Ronald R. Snider
Attorney of Record
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Date: February 15, 2002

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RRS/bam